

## ★ FLAGSHIP PROGRAM

# AI Risk for Boards & Compliance Teams

Our flagship. AI know-how brought into the room that answers for the risk, framed around the decisions a board owns.

**WHO IT'S FOR**

Boards, audit committees, CROs, Heads of Compliance and MLROs at banks, payments, asset managers and digital-asset firms.

**FORMAT**

Board briefing or in-house workshop

**LENGTH**

Half-day, or a 2–4 hour board briefing

## What your team walks away with

Challenge an AI or crypto risk decision in your own firm — and ask the questions that surface real exposure before it becomes a headline.

## What you'll learn

- ✓ Tell apart the AI risks that genuinely sit with a regulated firm from the vendor hype around them
- ✓ Ask the handful of probing questions that expose weak AI controls before you approve a system
- ✓ Recognise what regulators expect when AI touches financial crime, fraud and customer decisions
- ✓ Understand the extra risks your board signs off on when the firm holds, moves or services digital assets
- ✓ Draw clear accountability lines between the board, the risk function and the business for any AI decision

- ✓ Run your committee's AI and crypto sign-offs against a repeatable oversight checklist

## Curriculum

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### 01 Where AI actually creates risk in a regulated firm (and where the hype is wrong)

- How AI shows up in a regulated firm today: customer decisions, credit, fraud, financial crime, productivity tools
  - The real failure modes: biased or wrong decisions, opaque 'black-box' models you can't explain, data leakage
  - Generative AI versus traditional models, and why each carries a different kind of risk
  - Separating genuine exposure from vendor marketing and 'AI-washing'
  - What the board can see and own, versus what stays inside the technical detail
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### 02 The questions a board should ask before approving any AI system

- The short set of challenge questions that work for any AI proposal
  - Probing whether a use case is high-risk and what would happen if the model is wrong
  - Asking how a decision can be explained, evidenced and reversed
  - Checking that a human is accountable, not just the system
  - Spotting when a confident vendor pitch is standing in for real scrutiny
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### 03 AI in financial crime, fraud and customer decisions: what regulators expect

- Why supervisors expect AI decisions to be explainable, fair and documented
- Fairness and bias when AI affects customers, lending and access to services
- The expectation that a person, not the model, owns a financial-crime or customer decision
- Record-keeping that lets the firm show how an AI outcome was reached, months or years later
- How global frameworks (EU AI Act, model-risk principles) frame board and senior-management responsibility

## 04 Digital assets and crypto: the extra risks your board is signing off on

- Custody, on-chain exposure and what 'holding' or 'moving' digital assets actually means for the firm
  - The financial-crime rules that apply to crypto activity, including the FATF Travel Rule
  - Wallet, transaction and counterparty risks that traditional controls don't catch
  - Where AI is being used in crypto compliance and where it gives false confidence
  - The reputational and regulatory stakes specific to digital-asset activity
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## 05 Who owns AI risk — drawing clear lines between the board, risk and the business

- The three lines of defence applied to AI, in plain terms
  - What the board owns versus the risk function versus the business that deploys the tool
  - Avoiding the 'we left it to the tech team' gap that regulators no longer accept
  - How AI oversight fits into existing risk committees and reporting
  - Setting escalation triggers so serious AI issues reach the board in time
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## 06 A simple oversight checklist your committee can adopt straight away

- Walking through a board-ready AI risk oversight checklist line by line
- Tailoring it to your firm's AI and digital-asset footprint
- Using it consistently for every future AI or crypto approval
- What evidence to ask for before signing off, and what to record after
- Reviewing AI risk on an ongoing basis, not just at approval

## You keep

A board-ready AI risk oversight checklist you can use in your next meeting.

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